

1. Name of Listed Entity:Aspinwall and Company Limited
2. Scrip Code/Name of Scrip/Class of Security: ASPINWALL
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
			As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)	As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)						
Class eg: X	Class eg: y	Total																
A	Promoter &	12	5039654	0	0	503965	64.46	5039	0	503	64.46	0	64.46	0	0.00			5039654

	Promoter Group					4		654		9654								
B	Public	2413	2778634	0	0	2778634	35.54	2778634	0	2778634	35.54	0	35.54	0	0.00			1911957
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	2425	7818288	0	0	7818288	100.00	7818288	0	7818288	100.00	0	100.00	0	0.00			6951611

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of share holder (I)	No. of fully paid up equity shares held (II)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding % as a % of full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
Individuals/Hindu undivided Family		10	2874634	0	0	2874634	36.77	2874634	0	2874634	36.77	0	36.77	0	0.00			2874634
GIRIJA VARMA	AEEP V6857L		1000	0	0	1000	0.01	1000	0	1000	0.01	0	0.01	0	0.00			1000
H.H.AVITTO M THIRUNAL ADITHYA VARMA	AEO PP0248R		80916	0	0	80916	1.03	80916	0	80916	1.03	0	1.03	0	0.00			80916
RAJARAJA VARMA CHEMPROL	ABX PC0861H		37586	0	0	37586	0.48	37586	0	37586	0.48	0	0.48	0	0.00			37586
H H GOURI PARVATHI BAYI	AEBP B5782M		104492	0	0	104492	13.37	104492	0	104492	13.37	0	13.37	0	0.00			104492
H H MOOLAM THIRUNAL RAMA	AAU PH1582L		116534	0	0	116534	14.91	116534	0	116534	14.91	0	14.91	0	0.00			116534

	VARMA																		
	GOURI LAKSHMI BAYI	AEBP B578 1J		65890	0	0	65890	0.84	65890	0	658 90	0.84	0	0.84	0	0.00			65890
	POORURUT TATHI THIRUNAL MARTHAND AVARMA .	ABO PV60 06C		21127 0	0	0	211270	2.70	211270	0	211 270	2.70	0	2.70	0	0.00			211270
	MR. R. KRISHNAK UMAR	AASP V387 0F		1064	0	0	1064	0.01	1064	0	106 4	0.01	0	0.01	0	0.00			1064
	H.H.ASWAT HI THIRUNAL RAMA VARMA	AASP V387 0F		11551 4	0	0	115514	1.48	115514	0	115 514	1.48	0	1.48	0	0.00			115514
	H H THIRUVAT HIRA THIRUNAL LAKSHMI BAYI	AAO PH23 82Q		15105 8	0	0	151058	1.93	151058	0	151 058	1.93	0	1.93	0	0.00			151058
b	Central Government/ State Government(s )		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Any Other (specify)		2	21650 20	0	0	216502 0	27.69	216502 0	0	216 502 0	27.69	0	27.69	0	0.00			2165020
	Body Corporate		2	21650 20	0	0	216502 0	27.69	216502 0	0	216 502 0	27.69	0	27.69	0	0.00			2165020

	KUMARI INVESTMENT CORPORATION PVT LTD.	AAA CK1388G		459136	0	0	459136	5.87	459136	0	459136	5.87	0	5.87	0	0.00		459136
	NARAYANA N INVESTMENT TRUST PRIVATE LTD.	AAA CN7436L		170584	0	0	170584	21.82	170584	0	170584	21.82	0	21.82	0	0.00		170584
	Sub-Total (A)(1)		12	5039654	0	0	5039654	64.46	5039654	0	5039654	64.46	0	64.46	0	0.00		5039654
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		12	5039654	0	0	5039654	64.46	5039654	0	5039654	64.46	0	64.46	0	0.00		5039654

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held $\frac{VII}{IV+V+VI}$ (VII)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
l	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
a	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
f	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	(specify)																		
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		2161	2136809	0	0	2136809	27.33	2136809	0	2136809	27.33	0	27.33	0	0.00	0	0.00	1308296
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		2149	1549423	0	0	1549423	19.82	1549423	0	1549423	19.82	0	19.82	0	0.00			844416
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	587386	0	0	587386	7.51	587386	0	587386	7.51	0	7.51	0	0.00			463880
	MAHENDRA GIRDHARILAL	AAA PW13 27L	1	146872	0	0	146872	1.88	146872	0	146872	1.88	0	1.88	0	0.00			146872
	MIAMA POONNEN	AEQ PP78 40D	2	91626	0	0	91626	1.17	91626	0	91626	1.17	0	1.17	0	0.00			91626
b	NBFCs registered		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

	with RBI																		
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		252	641825	0	0	641825	8.21	641825	0	641825	8.21	0	8.21	0	0.00			603661
	Other		3	130	0	0	130	0.00	130	0	130	0.00	0	0.00	0	0.00			0
	Body Corporate		70	523883	0	0	523883	6.70	523883	0	523883	6.70	0	6.70	0	0.00			512213
	I C D S LIMITED	AAA C1435 5H	1	192466	0	0	192466	2.46	192466	0	192466	2.46	0	2.46	0	0.00			192466
	Non-Resident Indian (NRI)		62	39125	0	0	39125	0.50	39125	0	39125	0.50	0	0.50	0	0.00			12761
	Clearing member		48	37867	0	0	37867	0.48	37867	0	37867	0.48	0	0.48	0	0.00			37867
	Hindu Undivided Family		69	40820	0	0	40820	0.52	40820	0	40820	0.52	0	0.52	0	0.00			40820
	Sub-Total (B)(3)		2413	2778634	0	0	2778634	35.54	2778634	0	2778634	35.54	0	35.54	0	0.00			1911957
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		2413	2778634	0	0	2778634	35.54	2778634	0	2778634	35.54	0	35.54	0	0.00			1911957



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>